

07/31/03

067 U.S. PTO

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Dorothy DeFrancesco
Dorothy DeFrancesco

Attorney Docket No.: 03461C/HG

Customer No.: 01933

Pursuant to 37 CFR 1.53(b), transmitted herewith for filing is the continuation patent application (35 USC 111) of International Application PCT/JP02/01039 filed February 7, 2002

Inventor(s): Masahiro ISHIMA
Tsutomu YOSHIDA
Takayuki YAMAZAKI
Fumio SUGAWARA
Kiyoshige HATTA
Manabu SHIMOJOE
Kazuyoshi MASAKI

Title: NOVEL PEPTIDES, DERIVATIVES THEREOF, PROCESS FOR PRODUCING THE SAME, NOVEL STRAIN PRODUCING THE SAME, AND ANTIVIRAL AGENT COMPRISING THE SAME AS ACTIVE INGREDIENT

Priority Claim is made, based upon:

35 USC 119: Japanese Patent Application No. 2001-032729 filed February 8, 2001

35 USC 120: International Application PCT/JP02/01039 filed February 7, 2002

ASSIGNMENT INFORMATION FOR PUBLICATION:

TOYO SUISAN KAISHA, LTD.

13-40, Konan 2-chome, Minato-ku, Tokyo 108-8501 Japan

Enclosed herewith are:

- [X] Specification (Description, Claims, Abstract and Sequence Listing): Pages 1 - 82; Number of claims 1 - 23
- [X] Declaration and Power of Attorney (executed)
- [X] Certified copy of JP 2001-032729
- [X] Copy of certificate of viability statement and its translation for FERM BP-7436
- [X] Copy of certificate of original deposit and its translation for FERM BP-7436
- [X] Assignment and Form PTO-1595 Recordation Form Cover Sheet and Check for \$40.00
- [X] Information Disclosure Statement including Form PTO/SB/08A and Form PTO/SB/08B
- [X] 42 Sheets of drawings, Figures 1 - 42 [X] Formal [] Informal
- [X] Paper Copy and CD-R of Sequence Listing, including executed STATEMENT UNDER 37 CFR 1.821(f)
- [X] Copy of the International Preliminary Examination Report (PCT/IPEA/409) in PCT/JP02/01039 in Japanese and English-language translation of the International Preliminary Examination Report
- [X] Change of Correspondence Address (Form PTO/SB/122)
- [X] Check for \$1,056.00 (filing fee)
- [X] Receipt Postcard

| | Number Filed | Number Extra | Rate | Calculations |
|---------------------------|--------------|--------------|-----------------------------|--------------|
| Total Claims | 23 -20 = | 3 | x \$18.00 = | \$ 54.00 |
| Independent Claims | 6 -3 = | 3 | x \$84.00 = | \$ 252.00 |
| MULTIPLE DEPENDENT CLAIMS | | | + \$280.00 = | \$ - |
| | | | BASIC FEE | \$ 750.00 |
| | | | Total of above Calculations | \$ 1,056.00 |

To the extent not tendered by check, authorization is given to charge any fees under 37 CFR 1.16 and 1.17 during pendency of the application, or to credit any overpayment, to Deposit Account No. 06-1378. Duplicate copy of this letter is enclosed.

FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C.

By: *Richard S. Barth*
RICHARD S. BARTH - REG. NO. 28,180

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| Application Number | Contin. Appl. of PCT/JP02/01039 |
| Filing Date | Herewith |
| First Named Inventor | Masahiro ISHIMA |
| Art Unit | |
| Examiner Name | |
| Attorney Docket Number | 03461C/HG |

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Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☒ Attorney or Agent of record. Registration Number 28,180
- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____

Typed or Printed
Name

RICHARD S. BARTH - REG. NO. 28,180

Signature



Date

JULY 31, 2003

Telephone

212-319-4900

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. see below.

☒ *Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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